350,000,000

350,000,000

Status: New Submission



For the month ended:

Balance at close of preceding month

Increase / decrease (-)

Balance at close of the month

30 September 2021

### Monthly Return for Equity Issuer and Hong Kong Depositary Receipts listed under Chapter 19B of the Exchange Listing Rules on Movements in **Securities**

To : Hong Kong Exchanges a	and Clearing Limited								
Name of Issuer:	Anton Oilfield Services Gro	oup							
Date Submitted:	04 October 2021								
I. Movements in Authoris	sed / Registered Share	<b>Capital</b>							
1. Type of shares	Ordinary shares		Class of shares	Not applica	able	Listed on SEHK (No	ote 1)	Yes	
Stock code	03337		Description						
		Number of	f authorised/registered	d shares	Par valu	e	Auth	orised/register	ed share capital

3,500,000,000 HKD

3,500,000,000 HKD

Total authorised/registered share capital at the end of the month: HKD 350,000,000

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0.1 HKD

0.1 HKD

HKD

## **II. Movements in Issued Shares**

1. Type of shares	Ordinary shares	5	Class of shares	Not applicable	Listed on SEHK (Note 1)	Yes	
Stock code	03337		Description				
Balance at close of preceding month		3,006,569,855					
Increase / decrease (-)			0				
Balance at close of the month	1		3,006,569,855				

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## III. Details of Movements in Issued Shares

# (A). Share Options (under Share Option Schemes of the Issuer)

1. T	ype of shares issuable	Ordinary shares	C	Class of shares	Not applica	ble	Shar	res issuable to be listed or	n SEHK (Note 1)	Yes	
Stock code of shares issuable (if listed on SEHK) (Note 1)			2 1) 0	3337					1		1
Pā	Particulars of share option scheme  Number of share options outstanding at close of preceding month		Мс	Movement during the month		Number of share op outstanding at close the month		No. of new shares of issuer issued during the month pursuant thereto (A)	No. of new shares issuer which may issued pursuant the as at close of the m	be ereto	The total number of ecurities which may be ssued upon exercise of all share options to be granted under the scheme at close of the month
1).	Share Option Scheme granted on 24 February	77,917,334	Granted	d	0	77,51	7,334	0	77,51	17,334	
	2016		Exercise	ed	0						
	Exercise price: HKD0.74 Ordinary shares		Cancell		0						
	(Note 1)		Lapsed		-400,000						
	neral Meeting approval e (if applicable)	17 November 2007									
2).	Share Option Scheme	6,300,000	Granted	d	0	6,30	0,000	0	6,30	00,000	
	granted on 1 April 2016 Exercise price:		Exercise	ed	0						
	HKD0.800 Ordinary shares		Cancell	led	0						
	(Note 1)		Lapsed		0						
	General Meeting approval date (if applicable)  17 November 2007										
3).	Share Option Scheme	2,216,000	Granted	d	0	2,21	6,000	0	2,21	16,000	
	granted on 2 December 2016	on 2 December	Exercise	ed	0						
	Exercise price: HKD1.10		Cancell	led	0						
	Ordinary shares (Note 1)		Lapsed		0						
	neral Meeting approval e (if applicable)	17 November 2007									

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	i	i			· · · · · · · · · · · · · · · · · · ·				
4).		89,342,000	Granted	0	89,342,000	0	89,342,000		
	granted on 23 May 2017 Exercise price: HKD0.810 Ordinary shares		Exercised	0					
			Cancelled	0					
	(Note 1)		Lapsed	0					
	General Meeting approval date (if applicable)  17 November 2007								
5).	Share Option Scheme	58,686,333	Granted	0	58,686,333	0	58,686,333		
	granted on 3 April 2018 Exercise price: HKD1.02		Exercised	0					
	Ordinary shares (Note 1)		Cancelled	0					
	(Note 1)		Lapsed	0					
	General Meeting approval date (if applicable)  26 May 2017								
6).	Share Option Scheme	83,784,000	Granted	0	83,784,000	0	83,784,000		
	granted on 7 January 2019		Exercised	0					
	Exercise price: HKD0.79		Cancelled	0					
	Ordinary share (Note 1)		Lapsed	0					
	General Meeting approval date (if applicable)  26 May 2017								
7).	Share Option Scheme	113,439,592	Granted	0	113,439,592	0	113,439,592		
	granted on 1 April 2020 Exercise price:		Exercised	0					
	HKD0.495 Ordinary shares		Cancelled	0					
	(Note 1)		Lapsed	0					
	General Meeting approval date (if applicable)  26 May 2017								

Total A (Ordinary shares):	(
Total funds raised during the month from exercise of options: HKD	

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(B). Warrants to Issue Shares of the Iss	suer which are to be Listed Not applicable	
(C). Convertibles (i.e. Convertible into I	Issue Shares of the Issuer which are to be Listed) Not applicable	
(D). Any other Agreements or Arranger	ments to Issue Shares of the Issuer which are to be listed, including Options (other than Share Options Schemes)	Not applicable
(E). Other Movements in Issued Share	Not applicable	
	Total increase / decrease (-) in Ordinary shares during the month (i.e. Total of A to E)	

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IV. Information about Hong Kong Depositary Receipt (HDR) Not applicable

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#### V. Confirmations

We hereby confirm to the best knowledge, information and belief that, in relation to each of the securities issued by the issuer during the month as set out in Part III and IV which has not been previously disclosed in a return published under Main Board Rule 13.25A / GEM Rule 17.27A, it has been duly authorised by the board of directors of the listed issuer and, insofar as applicable:

(Note 2)

- (i) all money due to the listed issuer in respect of the issue of securities has been received by it:
- (ii) all pre-conditions for listing imposed by the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited under "Qualifications of listing" have been fulfilled;
- (iii) all (if any) conditions contained in the formal letter granting listing of and permission to deal in the securities have been fulfilled;
- (iv) all the securities of each class are in all respects identical (Note 2);
- (v) all documents required by the Companies (Winding Up and Miscellaneous Provisions) Ordinance to be filed with the Registrar of Companies have been duly filed and that compliance has been made with other legal requirements;
- (vi) all the definitive documents of title have been delivered/are ready to be delivered/are being prepared and will be delivered in accordance with the terms of issue;
- (vii) completion has taken place of the purchase by the issuer of all property shown in the listing document to have been purchased or agreed to be purchased by it and the purchase consideration for all such property has been duly satisfied; and
- (viii) the trust deed/deed poll relating to the debenture, loan stock, notes or bonds has been completed and executed, and particulars thereof, if so required by law, have been filed with the Registrar of Companies.

Submitted by: PI	Zhifend
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Title: Executive Director

(Director, Secretary or other Duly Authorised Officer)

#### Notes

- 1. SEHK refers to Stock Exchange of Hong Kong.
- 2. Items (i) to (viii) are suggested forms of confirmation which may be amended to meet individual cases. Where the issuer has already made the relevant confirmations in a return published under Main Board Rule 13.25A / GEM Rule 17.27A in relation to the securities issued, no further confirmation is required to be made in this return.

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- 3. "Identical" means in this context:
  - . the securities are of the same nominal value with the same amount called up or paid up;
  - they are entitled to dividend/interest at the same rate and for the same period, so that at the next ensuing distribution, the dividend/interest payable per unit will amount to exactly the same sum (gross and net); and
  - . they carry the same rights as to unrestricted transfer, attendance and voting at meetings and rank pari passu in all other respects.
- 4. If there is insufficient space, please submit additional document.
- 5. In the context of repurchase of shares:
  - . "shares issuable to be listed on SEHK" should be construed as "shares repurchased listed on SEHK"; and
  - . "stock code of shares issuable (if listed on SEHK)" should be construed as "stock code of shares repurchased (if listed on SEHK)"; and
  - . "type of shares issuable" should be construed as "type of shares repurchased"; and
  - . "issue and allotment date" should be construed as "cancellation date"
- 6. In the context of redemption of shares:
  - . "shares issuable to be listed on SEHK" should be construed as "shares redeemed listed on SEHK"; and
  - . "stock code of shares issuable (if listed on SEHK)" should be construed as "stock code of shares redeemed (if listed on SEHK)"; and
  - . "type of shares issuable" should be construed as "type of shares redeemed"; and
  - . "issue and allotment date" should be construed as "redemption date"

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