# **日KEX** 香港交易所

## Monthly Return of Equity Issuer on Movements in Securities

## For the month ended (dd/mm/yyyy) :

31 / 07 / 2020

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer	Anton Oilfield Services Group (Remark Note 1)
Date Submitted	03 / 08 / 2020

I. Movements in Authorised Share Capital

1. Ordinary Shares				
(1) Stock code : <u>3337</u> Descrip	otion :	Ordinary	Shares	
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)	
Balance at close of preceding month	3,500,000,000	HKD0.10	HKD350,000,000	
Increase/(decrease)	NIL		NIL	
Balance at close of the month	3,500,000,000	HKD0.10	HKD350,000,000	
(2) Stock code : <u>N/A</u> Descrip	otion :		N/A	
	No. of ordinary shares	Par value (State currency)	capital	
Balance at close of preceding month	N/A		N/A	
Increase/(decrease)	N/A		N/A	
Balance at close of the month	N/A		N/A	

### 2. Preference Shares

Stock code :	N/A	_Descript	ion :	Α		
			No. of preference shares	Par value (State currency)	Authorised share capital (State currency)	
Balance at close c	f precedir	ig month	N/A		N/A	
Increase/(decreas	e)	-	N/A	-	N/A	
Balance at close c	f the mon	th _	N/A		N/A	

### 3. Other Classes of Shares

Stock code : N/A	_Description :	tion : <u>N/A</u>		
	No. of other classes of shares	Par value (State currency)	Authorised share capital <i>(State currency)</i>	
Balance at close of precedir	ng month N/A		N/A	
Increase/(decrease)	N/A	_	N/A	
Balance at close of the mon	th <u>N/A</u>		N/A	

Total authorised share capital at the end of the month (*State currency*):

HKD350,000,000

### II. Movements in Issued Share Capital

	No. of ordinary shares		No of preference	No. of other	
	(1)	(2)	shares	classes of shares	
Balance at close of preceding month	3,006,569,855	N/A	N/A	N/A	
Increase/ (decrease) during the month		<u> </u>	N/A	N/A	
Balance at close of the month	3,006,569,855	N/A	N/A	N/A	

Share Options (und	er Share Opt	tion Schemes of	of the Issuer)			
Particulars of						No. of new shares of
share option					issuer issued during	issuer which may be
scheme					the month pursuant	issued pursuant
including EGM	1	Movement duri	ng the month		thereto	thereto as at close of
approval date	•		ng the month		-	the month
(dd/mm/yyyy) and						
class of shares						
issuable	Granted	Exercised	Cancelled	Lapsed		
1. Share Option						
Scheme granted						
on 24 February						
2016						
Exercise price:						
HKD0.74						
(17/11/2007)						
-				050 000		
Ordinary shares				250,000		79,525,334
(Note 1)						
2. Share Option						
Scheme granted						
on 1 April 2016						
Exercise price:						
HKD0.800						
(17/11/2007)						
Ordinary shares						6,300,000
(Note 1)						
3. Share Option						
Scheme granted						
on 2 December						
2016						
Exercise price: HKD1.10						
(17/11/2007)						
Ordinary shares						2,216,000
(Note 1)						2,210,000
, ,						
4. Share Option Scheme granted						
on 23 May 2017						
Exercise price:						
HKD0.810						
(17/11/2007)						
Ordinary shares						91,960,000
5. Share Option						
Scheme granted						
on 3 April 2018						
Exercise price:						
HKD1.02						
(26/05/2017)				100.000		CO 000 000
Ordinary shares				100,000	,	63,983,333
(Note 1)						

## III. Details of Movements in Issued Share Capital

6. Share Option Scheme granted on 7 January 2019 Exercise price: HKD0.79				
(26/05/2017)				
Ordinary shares		200,000		87,734,000
(Note 1)				
7. Share Option Scheme granted on 1 April 2020 Exercise price: HKD0.495 (26/05/2017)				
Ordinary shares				113,439,592
(Note 1)				, ,
	T-1-1 A	(Ordinary charge)	NIII	
	Total A	· · · · · · · · · · · · · · · · · · ·	NIL	
		(Preference shares)	N/A	
		(Other class)	N/A	
Total funds raised (State currency)	during the month from exercise of options	NIL		

Warrants to Issue Shares of the	e Issuer which	are to be Listed	<u> </u>			
Description of warrants (Date of expiry - dd/mm/yyyy) 1. N/A	Currency of nominal value	Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
<u>1. N/A</u>						
( / / ) Stock code (if listed) Class of shares issuable (Note 1) Subscription price						
EGM approval date (if applicable)	( / /	)	-			
<u>2. N/A</u>						
( / / ) Stock code (if listed) Class of shares issuable (Note 1)						
Subscription price EGM approval date			-			
(if applicable) (dd/mm/yyyy)	( / /	)	-			
<u>3. N/A</u>						
( / / )						·
Stock code (if listed) Class of shares			-			
Cube estintion artice			-			
EGM approval date			-			
(if applicable) (dd/mm/yyyy)	( / /	)				
<u>4. N/A</u>	\ <i>', '</i>	/	-			
						<u> </u>
Stock code (if listed)			-			
Class of shares issuable (Note 1)						
Subscription price			-			
EGM approval date (if applicable)						
	( / /	)	-			
		Total E		ary shares)	N/A	_
				ce shares)		
			(O	ther class)	N/A	-

Convertibles (i.e. Convertible	into Shares of th	e Issuer which	ch are to be Liste	ed)		
Class and description	Currency of amount outstanding	Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
<u>1. N/A</u>	_					
	_					
	_					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)) 2. N/A						
	_					
	_					
Stock code (if listed) Class of shares issuable <i>(Note 1)</i> Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy) <u>3. N/A</u>	( / / )					
	_					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date						
(if applicable) (dd/mm/yyyy) <u>4. N/A</u>	( / / ) 					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price						
EGM approval date (if applicable)						
(dd/mm/yyyy)	( / / )		Total C (Ordina	nu oboroc <sup>1</sup>	N1/A	
			Total C. (Ordina (Preferen	ary shares) ce shares)		-
				ther class)		-

Any other Agreements or Arrangements to Issue Shares of the Issue Options (other than under Share Option Schemes)	er which are to be Liste	ed, including
	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of
Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:		the month
1. <u>N/A</u>		
	4	
	]	
shares (Note 1)		
2. N/A		
	-	
shares (Note 1)		
3. N/A		
	-	
shares (Note 1)		
	N1/A	
Total D. (Ordinary shares) (Preference shares)	N/A N/A	
(Other class)	N/A	

Ot	her Movements	<u>in Issued S</u> ha	re Capital					
	Type of Issue						No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
				Class of shares issuable <i>(Note 1)</i>				
1.	Rights issue	At price :	State currency	_ Issue and allotment date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
							<u>N/A</u>	<u>N/A</u>
				Class of shares issuable <i>(Note 1)</i>				
2.	Open offer	At price :	State	_ Issue and allotment date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
							<u>N/A</u>	<u>N/A</u>
				Class of shares issuable (Note 1)				
3.	Placing	At price :	State currency	_ Issue and allotment date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
							<u>N/A</u>	<u>N/A</u>
				Class of shares issuable (Note 1)				
4.	Bonus issue			Issue and allotment date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)	N/A	N/A

	Type of Issue					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
				Class of shares issuable (Note 1)		-	
5.	Scrip dividend	At price :	State currency ———	Issue and allotment date : (dd/mm/yyyy)	( / /	)	
				EGM approval date: (dd/mm/yyyy)	( / /	) N/A	N/A
				Class of shares repurchased (Note 1)		-	
6.	Repurchase of shares			Cancellation date : (dd/mm/yyyy)	( / /	)	
				EGM approval date: (dd/mm/yyyy)	( / /	) N/A	N/A
				Class of shares redeemed (Note 1)		_	
7.	Redemption of shares			Redemption date : (dd/mm/yyyy)	( / /	)	
				EGM approval date: (dd/mm/yyyy)	( / /	) <u>N/A</u>	<u>N/A</u>
				Class of shares issuable (Note 1)		-	
8.	Consideration issue	At price :	State currency ———	lssue and allotment date : (dd/mm/yyyy)	( / /	)	
				EGM approval date: (dd/mm/yyyy)	( / /	) <u>N/A</u>	<u>N/A</u>

Type of Issue	No. of new No. of new shares of shares of issuer issuer which issued may be during the issued month pursuant pursuant thereto as at thereto close of the month
Cla iss	uable (Note 1)
	ue and allotment ( / /  ) e:(dd/mm/yyyy)
	M approval date: ( / /  ) /mm/yyyy)
	<u>N/A</u> <u>N/A</u>
	uss of shares uable (Note 1)
10. Other (Please specify) At price : State currency	ue and allotment (// ) e:(dd/mm/yyyy)
	M approval date: ( / /  ) //mm/yyyy)
	Total E. (Ordinary
	shares) N/A (Preference shares) N/A (Other class) N/A

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E): (1)	NIL	
(2)	N/A	
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):	N/A	
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):	N/A	
(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)		

#### IV. Confirmations

We hereby confirm to the best knowledge, information and belief that, in relation to each of the securities issued by the issuer during the month as set out in Part III which has not been previously disclosed in a return published under rule 13.25A, it has been duly authorized by the board of directors of the listed issuer and, insofar as applicable:

(Note 2)

- (i) all money due to the listed issuer in respect of the issue of securities has been received by it;
- (ii) all pre-conditions for listing imposed by the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited under "Qualifications of listing" have been fulfilled;
- (iii) all (if any) conditions contained in the formal letter granting listing of and permission to deal in the securities have been fulfilled;
- (iv) all the securities of each class are in all respects identical (Note 3);
- (v) all documents required by the Companies (Winding Up and Miscellaneous Provisions) Ordinance to be filed with the Registrar of Companies have been duly filed and that compliance has been made with other legal requirements;
- (vi) all the definitive documents of title have been delivered/are ready to be delivered/are being prepared and will be delivered in accordance with the terms of issue;
- (vii) completion has taken place of the purchase by the issuer of all property shown in the listing document to have been purchased or agreed to be purchased by it and the purchase consideration for all such property has been duly satisfied; and
- (viii) the trust deed/deed poll relating to the debenture, loan stock, notes or bonds has been completed and executed, and particulars thereof, if so required by law, have been filed with the Registrar of Companies.

#### Remarks (if any):

1. The company was incorporated in the Cayman Islands with limited liability.

Submitted by: PI Zhi Feng

Title: <u>Executive Director</u> (Director, Secretary or other duly authorised officer)

#### Notes :

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. Items (i) to (viii) are suggested forms of confirmation which may be amended to meet individual cases. Where the issuer has already made the relevant confirmations in a return published under rule 13.25A in relation to the securities issued, no further confirmation is required to be made in this return.
- 3. *"Identical" means in this context:* 
  - the securities are of the same nominal value with the same amount called up or paid up;
  - they are entitled to dividend/interest at the same rate and for the same period, so that at the next ensuing distribution, the dividend/interest payable per unit will amount to exactly the same sum (gross and net); and
  - they carry the same rights as to unrestricted transfer, attendance and voting at meetings and rank pari passu in all other respects.
- 4. If there is insufficient space, please append the prescribed continuation sheet.